

# Variations on rotation invariance in K-theory

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- Commutativity and strict commutativity
- Strict triviality in algebraic K-theory
- Rotation invariance and the proof
  
- Joint with Qingyuan Bai

## Commutativity and strict commutativity

# Commutativity in ordinary algebra

- For elements of a commutative monoid  $x, y \in A$

$$x \cdot y = y \cdot x$$

- In particular, for  $x = y$

$$x \cdot x = x \cdot x$$

nothing much to say

- For objects in a category  $X, Y \in \mathcal{C}$

$$B_{X,Y}: X \times Y \xrightarrow{\simeq} Y \times X, \quad B_{X,Y}(x, y) = (y, x)$$

- In particular, for  $X = Y$

$$B_{X,X}: X \times X \xrightarrow{\simeq} X \times X, \quad B_{X,X}(x_1, x_2) = (x_2, x_1)$$

- More generally,  $\Sigma_n$  acts on  $X^n$

# Commutativity in higher algebra (cont.)

- Given  $(A, \otimes) \in \text{CMon}(\mathcal{S})$  (commutative monoid structure on a space)

for  $X \in A$  and  $n \in \mathbb{N}$  have

$$\Sigma_n \curvearrowright X^{\otimes n} \in A$$

- Saying  $X \in A$  “commutes with itself” is extra structure: trivialization of  $\Sigma_n \curvearrowright X^{\otimes n}$

# Strict commutativity

- Element  $X \in A \iff \text{map } \text{Fin}^{\simeq} \rightarrow A \in \text{CMon}(\mathcal{S})$   
encoding all  $\Sigma_n$ -action via

$$\text{Fin}^{\simeq} \simeq \bigsqcup \text{B}\Sigma_n$$

## Definition

The structure of a *strict element* on  $X \in A$  is a factorization

$$\begin{array}{ccc} \text{Fin}^{\simeq} & \xrightarrow{X} & A \\ \downarrow & \nearrow \text{---} & \\ \mathbb{N} & & \end{array} \in \text{CMon}(\mathcal{S})$$

## Strict commutativity (cont.)

- Distinction does not exist in ordinary algebra  
 $\mathbb{N}$  is both the free monoid and free commutative monoid  
(note false for free on 2 generators)
- $\mathbb{N}$  is the free monoid in spaces,  $\text{Fin}^{\simeq}$  is the free commutative monoid
- Alternative definition:  
Element  $X \in A \iff$  monoid map  $\mathbb{N} \rightarrow A$ ,  
strict structure is a *commutative* monoid lift

# Examples

## Example

The neutral element  $1 \in A$  canonically lifts to a strict element

## Example

The only strict sets are  $\emptyset$  and  $*$   
(consider the swap  $B_{X,X}: X \times X \xrightarrow{\sim} X \times X$ )

## Example

Any line bundle over a commutative ring  $R$  (module  $M$  such that  $M_{\mathfrak{p}} \simeq R_{\mathfrak{p}}$  for all  $\mathfrak{p} \triangleleft R$ ) is canonically strict  
(since locally on  $\text{Spec}(R)$  it is the unit)

# Derived Modules

- For a  $R$  commutative ring, consider

$$\mathcal{D}(R) = \text{Ch}(\text{Mod}_R)[\text{q.iso}^{-1}] = \text{Mod}_R(\text{Sp})$$

with the (derived) tensor product  $\otimes_R$

- By previous example, line bundles over  $R$  are strict

## Question

*What about shifts  $R[n]$ ?*

## Proposition

*$R[n] \in \mathcal{D}(R)$  is strict if  $n$  is even, and isn't (in general) if  $n$  is odd*

# Strict derived modules

## Proposition

$R[n] \in \mathcal{D}(R)$  is strict if  $n$  is even, and isn't (in general) if  $n$  is odd

## Proof.

Suffices for  $R = \mathbb{Z}$ .

Consider the groupoid  $\text{pic}(\mathbb{Z})$  on  $\{\mathbb{Z}[i]\}_i$ ,

has  $\pi_0 = \mathbb{Z}$  and  $\pi_1 = \mathbb{Z}^\times = \mathbb{Z}/2$ , and  $k$ -invariant by  $\text{Sq}^2$ .

A commutative diagram illustrating the relationship between various mathematical objects. At the top left is  $\mathbb{N}$ . A solid arrow points from  $\mathbb{N}$  to  $0$  at the top right. A dashed arrow points from  $\mathbb{N}$  to  $\text{pic}(\mathbb{Z})$  in the middle. A solid arrow points from  $\text{pic}(\mathbb{Z})$  to  $0$ . A solid arrow points from  $\mathbb{N}$  to  $\mathbb{Z}$  at the bottom left, labeled with  $n$ . A solid arrow points from  $\text{pic}(\mathbb{Z})$  to  $\mathbb{Z}$ . A solid arrow points from  $\mathbb{Z}$  to  $\mathbb{Z}/2$ . A solid arrow points from  $\mathbb{Z}/2$  to  $\mathbb{Z}/2[2]$ , labeled with  $\text{Sq}^2$ . A solid arrow points from  $0$  to  $\mathbb{Z}/2[2]$ . A small square symbol is located between  $\text{pic}(\mathbb{Z})$  and  $\mathbb{Z}$ .



# Summary on commutativity

- Elements have non-trivial actions

$$\Sigma_n \curvearrowright X^{\otimes n} \in A$$

- Trivialization is extra data

$$\mathbb{N} \longrightarrow A \in \text{CMon}(\mathcal{S})$$

- $R[2] \in \mathcal{D}(R)$  is strict, but  $R[1]$  isn't

# Strict triviality in algebraic K-theory

- Consider perfect modules: bounded complexes of finitely generated projective (vector bundles)

$$\text{Perf}(R) := \text{Ch}^b(\text{Proj}_R)[\text{q.iso}^{-1}] = \mathcal{D}(R)^{\text{dbl}}$$

- $K(R)$  obtained from  $\text{Perf}(R) \simeq$  by splitting exact sequences

$$X \longrightarrow Y \longrightarrow Z \quad \rightsquigarrow \quad [X] + [Z] \simeq [Y]$$

- Multiplicative structure

$$[X] \cdot [Y] = [X \otimes_R Y]$$

# Triviality of $[R[2]]$

- $X[1]$  participates in

$$X \longrightarrow 0 \longrightarrow X[1] \quad \rightsquigarrow \quad [X[1]] \simeq -[X]$$

- Applied twice to  $X = R$

$$[R[2]] \simeq [R]$$

i.e.  $[R[2]]$  is the unit element in  $K(R)$

## Question

*Is  $[R[2]] \simeq [R]$  a “coincidence”, or does it hold more broadly?*

# Strict triviality of $[R[2]]$

- Recall the unit,  $[R] \in \mathbf{K}(R)$ , is always trivially strict
- Produced strict structure on  $R[2] \in \mathcal{D}(R)$   
 $\rightsquigarrow$  some strict structure on  $[R[2]] \in \mathbf{K}(R)$

## Theorem (Bai–B.-M., in progress)

*The strict structure on  $[R[2]]$  is trivial, i.e. the composition*

$$\mathbb{N} \longrightarrow \mathrm{Perf}(R) \xrightarrow{\simeq} \mathbf{K}(R)$$

*is null-homotopic*

## Theorem (Carmeli–Luecke (2024))

*The second  $k$ -invariant of  $K(\mathbb{Z})^\times$  vanishes, i.e.*

$$K(\mathbb{Z})^\times \simeq \tau_{\leq 1} K(\mathbb{Z})^\times \oplus \tau_{\geq 2} K(\mathbb{Z})^\times$$

*( $\rightsquigarrow$  same for  $K(-)^\times$ , after Zariski sheafification)*

- By sophisticated analysis and chromatic methods

## Alternative proof.

$\text{pic}(\mathbb{Z}) \rightarrow K(\mathbb{Z})^\times$  factors through the quotient by  $\mathbb{Z}[2]$ , yielding an isomorphism onto  $\tau_{\leq 1} K(\mathbb{Z})^\times$ , hence a section  $\square$

## Rotation invariance and the proof

- So far considered  $\mathcal{D}(R)$  for ordinary  $R$ 
  - Initial commutative semiring:  $(\mathbb{N}, +, \cdot)$
  - Initial commutative ring:  $\mathbb{Z} = \mathbb{N}^{\text{gpC}}$
- Consider commutative rings in spaces
  - Initial commutative semiring in spaces:  $(\text{Fin}^{\simeq}, \sqcup, \times)$
  - Initial commutative ring in spaces:  $\mathbb{S} = (\text{Fin}^{\simeq})^{\text{gpC}}$
- Universal example  $\text{Sp} = \mathcal{D}(\mathbb{S})$

# Strictness in spectra

- Refinement: have  $\mathbb{S} \rightarrow \mathbb{Z}$  inducing  $S_{\mathbb{P}} \rightarrow \mathcal{D}(\mathbb{Z})$
- $\mathbb{Z}[1]$  isn't strict  $\rightsquigarrow$   $\mathbb{S}[1]$  isn't strict

## Question

*Does the strict structure on  $\mathbb{Z}[2]$  lift to  $\mathbb{S}[2]$ ?*

## Theorem (Lurie (2015))

*There is an  $\mathbb{E}_2$ -lift of  $\mathbb{N} \rightarrow S_{\mathbb{P}}$  choosing  $\mathbb{S}[2]$   
(No  $\mathbb{E}_3$ -lift, in particular, no strict lift)*

- Two ways: combinatorially, and via Bott periodicity

- Shift by 2 on any stable  $\infty$ -category

$$(-)[2]: \mathcal{C} \longrightarrow \mathcal{C}$$

is invertible, and natural in  $\mathcal{C}$

- $\mathbb{Z} \rightarrow \text{Aut}(\text{Id}_{\text{Cat}_{\text{st}}})$  monoid map
- Lurie's theorem makes it  $\mathbb{E}_2$
- “Rotation action”, deloop  $S^1 = \text{B}\mathbb{Z} \rightarrow \text{Aut}(\text{Cat}_{\text{st}})$

## Theorem (Lurie (2015))

*The functor  $K: \text{Cat}_{\text{st}} \rightarrow \text{Sp}$  is invariant under the  $S^1$ -action*

- “Self-commuting” upgrade of the fact  $[X[2]] \simeq [X]$
- Using combinatorics of paracyclic  $S_\bullet$ -construction
- Subtle point: rotation invariance a priori *weaker* than  $\mathbb{E}_2$ -lift of  $\mathbb{S}[2]$  (doesn't use multiplicativity of  $K$ )

## Theorem (Bai–B.-M., in progress)

*The  $\mathbb{E}_2$ -structure on  $[\mathbb{S}[2]]$  is trivial, i.e. the  $\mathbb{E}_2$ -map*

$$\mathbb{N} \longrightarrow \text{Perf}(\mathbb{S})^{\simeq} \longrightarrow K(\mathbb{S})$$

*is null-homotopic*

# Strict rotation invariance

- Recall  $\mathbb{Z}[2]$  is strict ( $\mathbb{E}_\infty$ -lift, not just  $\mathbb{E}_2$ ), want

Theorem (Bai–B.-M., in progress)

*The strict structure on  $[R[2]]$  is trivial, i.e. the composition*

$$\mathbb{N} \longrightarrow \mathrm{Perf}(R) \simeq \longrightarrow \mathrm{K}(R)$$

*is null-homotopic*

- Use combinatorial description of multiplicative structure
- Prove holds over MU, using Bott periodicity description
- Prove holds for any localizing invariant (THH, TC, ...)

Thank You!